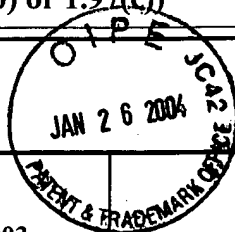


**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
112703-315

In Re Application Of: Ream et al.



Serial No.  
10/743,609

Filing Date  
December 22, 2003

Examiner  
Unknown

Group Art Unit  
Unknown

Title:

**PHARMACEUTICAL CHEWING GUM FORMULATIONS**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.


**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

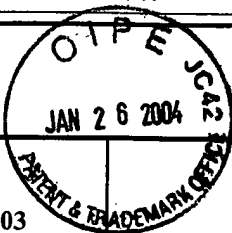
☐ the fee set forth in 37 CFR 1.17(p).

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 112703-315	
In Re Application:    Ream et al.				
Serial No. 10/743,609	Filing Date December 22, 2003	Examiner Unknown	Group Art Unit Unknown	
<b>PHARMACEUTICAL CHEWING GUM FORMULATIONS</b>				
<b>Payment of Fee</b> (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))				
<input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <b>02-1818</b> as described below.				
<input type="checkbox"/> Charge the amount of _____ <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.				
<b>Certificate of Transmission by Facsimile*</b>  I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F: _____)  _____ (Date)  _____ Signature  _____ Typed or Printed Name of Person Signing Certificate		<b>Certificate of Mailing by First Class Mail</b>  I certify that this document and fee is being deposited on 1/22/2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.   Signature of Person Mailing Correspondence Renee Street _____ Typed or Printed Name of Person Mailing Certificate		
<p>*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>_____ Signature</p> <p><b>Robert M. Barrett (30,142)</b>  <b>ATTORNEYS FOR APPLICANTS</b>  <b>Bell, B yd &amp; Lloyd LLC</b>  <b>P.O. Box 1135</b>  <b>Chicago, Illinois 60690-1135</b></p> </div> <div style="width: 45%;"> <p>Dated:    <b>January 22, 2004</b></p> </div> </div>				
CC:				

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
112703-315

In Re Application Of: Ream et al.



Serial No.  
10/743,609

Filing Date  
December 22, 2003

Examiner  
Unknown

Group Art Unit  
Unknown

Title:

**PHARMACEUTICAL CHEWING GUM FORMULATIONS**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
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**37 CFR 1.97(c)**

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☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Ream et al.  
Appl. No.: 10/743,609  
Conf. No.: Unknown  
Filed: December 22, 2003  
Title: PHARMACEUTICAL CHEWING GUM FORMULATIONS  
Art Unit: Unknown  
Examiner: Unknown  
Docket No.: 112703-315

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which can be found in the parent, application no. 09/671,552, filed on September 27, 2000, be made during the course of examination of the above-identified application for United States patent.

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<b>Document No.</b>	<b>Date</b>	<b>Inventor</b>
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3,995,064	11/30/1976	Ehrgott et al.
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<b>Document No.</b>	<b>Date</b>	<b>Inventor</b>
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<b>Document No.</b>	<b>Date</b>	<b>Inventor</b>
6,531,114	3/11/2003	Gmunder et al.
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6,569,472	5/27/2003	Zyck et al.
6,572,900	6/3/2003	Zyck et al.
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6,645,535	11/11/2003	Zyck et al.
6,663,849	12/16/2003	Zyck et al.

The following patent applications have been filed by assignee, or applicants believe are under an obligation of assignment to the assignee.

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<b>Application No.</b>	<b>Filing Date</b>	<b>Inventor</b>
09/286,818	4/6/1999	Ream et al.
09/421,905	10/20/1999	Ream et al.
09/592,400	6/13/2000	Ream et al.
09/621,780	7/21/2000	McGrew et al.

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1 489 832	10/26/1977	United Kingdom
0 221 850 A2	05/13/1987	Europe
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Dr. Massimo Calanchi and Dr. Sam Ghanta, “Taste-masking of oral formulations”, *Eurand International SpA, Pharmaceutical Manufacturing International*, 1996 (5 pages).

The Eurand Group, Brochure (undated) (published at least before November 27, 1996), (16 pages).

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Applicants look forward to early and favorable consideration of this matter.

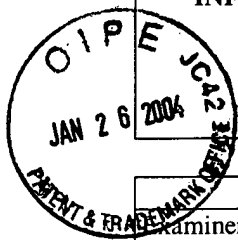
Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY  \_\_\_\_\_

Robert M. Barrett  
Reg. No. 30,142  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Phone: (312) 807-4204

Dated: January 22, 2004



**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
(Use several sheets if necessary)

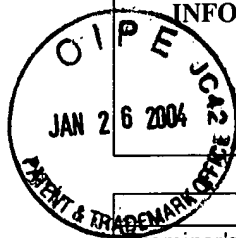
PTO Form 1449

Atty Docket No. 112703-315	Application No. 10/743,609
Applicant Ream et al.	
Filing Date December 22, 2003	Group Unknown

**U.S. PATENT DOCUMENTS**

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	3,554,767	01/12/1971	Daum			
	3,995,064	11/30/1976	Ehrgott et al.			
	4,238,510	12/09/1980	Cherukuri et al.			
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	4,283,408	08/11/1981	Hirata et al.			
	4,317,838	03/02/1982	Cherukuri et al.			
	4,374,858	2/22/1983	Glass et al.			
	4,378,374	3/29/1983	Reggio et al.			
	4,386,106	5/31/1983	Merritt et al.			
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	5,110,608	5/5/1992	Cherukuri et al.			
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	5,229,137	07/20/1993	Wolfe			
	5,244,670	09/14/1993	Upson et al.			
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	5,523,097	06/04/1996	Song et al.			
	5,536,511	7/16/1996	Yatka			
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	5,569,477	10/29/1996	Nesbitt			
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	5,629,026	05/13/1997	Davis			
	5,651,987	7/29/1997	Fuisz			
	5,656,652	08/12/1997	Davis			
	5,665,406	9/9/1997	Reed et al.			
	5,800,847	09/01/1998	Song et al.			
	5,854,267	12/29/1998	Berlin et al.			
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	5,858,412	01/12/1999	Staniforth et al.			
	5,858,413	01/12/1999	Jettka et al.			
	5,858,423	01/12/1999	Yajima et al.			
	5,889,028	03/30/1999	Sandborn et al.			
	5,980,955	11/09/1999	Grennberg et al.			

Examiner:	Date Considered:
<p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	



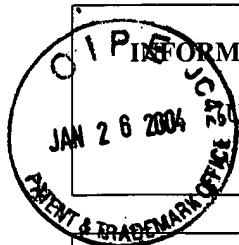
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449	Atty Docket No. 112703-315	Application No. 10/743,609
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	Filing Date December 22, 2003	Group Unknown

U.S. PATENT DOCUMENTS						
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	5,989,588	11/23/1999	Korn et al.			
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	6,200,604	3/13/2001	Pather et al.			
	6,258,376	7/10/2001	Athanikaar			
	6,290,985	9/18/2001	Ream et al.			
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	6,627,234	9/30/2003	Johnson et al.			
	6,645,535	11/11/2003	Zyck et al.			
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						Yes	No
	0 934 596	08/21/1963	United Kingdom				
	0 963 518	07/08/1964	United Kingdom				
	1 489 832	10/26/1977	United Kingdom				
	0 221 850 A2	05/13/1987	Europe				

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449	Atty Docket No. 112703-315	Application No. 10/743,609
	Applicant Ream et al.	
	Filing Date December 22, 2003	Group Unknown

### FOREIGN PATENT DOCUMENTS

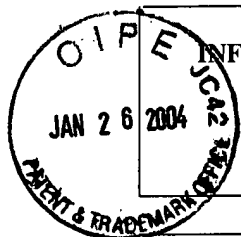
Examiner's Initials		Document Number	Publication Date	Country	Class	Subclass	Translation	
							Yes	No
		0 273 809 B1	07/06/1998	Europe				
		2 635 441	02/23/1990	France				
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		WO 96/00070	01/04/1996	PCT				
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		WO 00/35296	06/22/2000	PCT				
		WO 00/35298	06/22/2000	PCT				
		WO 00/38532	07/06/2000	PCT				

Examiner's Initials	<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>
	"Flavor Encapsulation Technologies, Flavor Unit Sweet, Product Management", H&R (undated) (published at least before November 27, 1996), 25 pages.
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Examiner:

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# **INFORMATION DISCLOSURE CITATION IN AN APPLICATION**

(Use several sheets if necessary)

PTO Form 1449

Atty Docket No. 112703-315	Application No. 10/743,609
Applicant Ream et al.	
Filing Date December 22, 2003	Group Unknown

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	C. Curtis Vreeland, "Nutraceuticals Fuel Confectionery Growth" <i>Candy R&amp;D</i> , (March, 1999), pp. 29, 31-32, 34-35.
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	Product package "Aspergum" distributed by Heritage Consumer Products, LLC (on sale prior to November 27, 1995)
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